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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHARLES A. MILLIGAN et al.

Group Art Unit: 2835

Examiner: Unknown

Serial No.: 10/791,205

Filed: March 2, 2004

For: CANISTER-BASED STORAGE SYSTEM

Attorney Docket No.: 2003-023-DSK (STK 03023 PUSP)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment  
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U.S. Patent & Trademark Office  
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Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

April 19, 2006  
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Name of Person Signing

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No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

**CHARLES A. MILLIGAN et al.**

By:   
Mark D. Chuey  
Reg. No. 42,415  
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Date: April 19, 2006

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	3
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**Complete if Known**

<b>Application Number</b>	10/791,205
<b>Filing Date</b>	March 2, 2004
<b>First Named Inventor</b>	Charles A. Milligan et al.
Group Art Unit	2835
Examiner Name	Unknown
Attorney Docket Number	2003-023-DSK (STK03023PUSP)

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. PATENT DOCUMENT		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,504,936		Faber et al.	03-12-1985	
		4,674,004		Smith et al.	06-16-1987	
		4,754,397		Varaiya et al.	06-28-1988	
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		5,914,858		McKeen et al.	06-22-1999	

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/791,205
				Filing Date	March 2, 2004
				First Named Inventor	Charles A. Milligan et al.
				Group Art Unit	2835
				Examiner Name	Unknown
Sheet	2	of	3	Attorney Docket Number	2003-023-DSK (STK03023PUSP)

**U.S. PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. PATENT DOCUMENT		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,941,994		DeKoning et al.	08-24-1999	
		6,052,278		Tanzer et al.	04-18-2000	
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		6,381,139		Sun	04-30-2002	
		2004/0010660	A1	Konshak et al.	01-15-2004	

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Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449B/PTO  <b>INFORMATION DISCLOSURE  STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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Sheet	3	of	3	Attorney Docket Number	2003-023-DSK (STK03023 PUSP)

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
		Infinisan ATAboy, Nexsan Technologies, On-Guard Technical Services, <a href="http://www.nexsan.com">www.nexsan.com</a> , ATA disk based RAID		
		Infinisan ATAboy2, Nexsan Technologies, On-Guard Technical Services, <a href="http://www.nexsan.com">www.nexsan.com</a> ATA disk based RAID		
		InfinisanD2D, There is a better way to protect my data than tape, Nexsan Technologies, <a href="http://www.nexsan.com">www.nexsan.com</a>		
		The Value of Storage Virtualization, a white paper, Falconstar Software, 2001 Falconstor Software, Inc, <a href="http://www.falconstor.com">www.falconstor.com</a>		
		Virtualization High Availability Mirroring Back Up Storage Devices, IPStor, FalconStor Software, The Art of Storage Networking, <a href="http://www.FalconStor.com">www.FalconStor.com</a>		
		Sansymphony, Version 5 DataSheet, Storage Management, Virtualization and Control, DataCore Software <a href="http://www.datacore.com">www.datacore.com</a>		
		New Tape Library Alternative from Alacritus Software, Alacritus Software, Protects Corporate Data Assets, Ensures High Quality Performance & Reduces Storage Management Costs		
		Double-Take, Features and Benefits, Advantages of Double Take, The Protection of Real-Time Data Replication in a Multi-Platform Software Solution, Continuous Data Availability, NSI Software		

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